

# BRESSLER, AMERY & ROSS

A PROFESSIONAL CORPORATION

## INSIDER TRADING SEMINAR

Alex Sabo, a partner in the firm's Miramar, Florida, office, participated in a presentation on insider trading on March 26, 2008 that was sponsored by the University of Miami School of Law's Center for Ethics and Public Service. This discussion featured an attorney from the Miami, Florida office of the United States Securities and Exchange Commission, a professor from the University of Miami School of Law and another securities practitioner from South Florida. The topics discussed included a historical perspective on insider trading and insider trading legislation, ethical considerations regarding the purchase and sale of securities by corporate insiders and others who potentially face liability for insider trading, theories of insider trading liability, and the potential penalties for insider trading. The seminar was part of an on-going series sponsored by the University of Miami School of Law's Center for Ethics and Public Service that brings prominent members of the South Florida legal community to the law school to discuss current, significant issues in the law.

Mr. Sabo's securities practice involves litigation of cases in state and federal court at the trial and appellate levels, as well as handling arbitrations. He also represents public companies, financial services firms and registered persons in regulatory matters involving the United States Securities and Exchange Commission, securities self-regulatory organizations and the Florida Department of Financial Services. Mr. Sabo is active in the Florida Securities Dealers Association, the nation's oldest state securities association, and is the longest serving member of its Board of Governors.

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