

Donald R. Littlefield

Managing Principal, Dallas

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Don Littlefield is the Managing Principal of the Dallas Office. He has been representing financial institutions and their employees for over 30 years. One of his clients describes his legal advice as smart, practical, and efficient. Having worked in private law firms and as an Executive Director and Senior Vice President for two national broker-dealers, he combines in-depth knowledge of the securities industry with superior legal skills to provide clients with creative and effective legal strategies. He has built strong relationships with his in-house clients by providing a perspective built on decades of handling legal disputes for financial services clients and their employees from the market crash of 1987 through the current turbulent markets.

Don graduated from Southern Methodist University School of Law in 1986 and has a BBA in Finance from the University of North Texas. He was previously a shareholder at Munsch, Hardt, Kopf & Harr in Dallas, Texas. He began his career as an associate at Rohde, Chapman, Ford & How and Geary, Glast & Middleton. Don is also a past chairman of the Securities Section of the Dallas Bar association and has spoken on securities matters in several continuing education panels, including the SIFMA Compliance and Legal annual conference.

Awards & Accolades

- Recognized as Texas Super Lawyers in 2019 and 2020.

Experience

Donald has handled hundreds of legal disputes between clients and financial institutions. He has also represented firms and individuals before both federal and state courts, regulatory bodies and self-regulatory organizations. He has also conducted numerous internal investigations and has handled a large number of employment disputes. His experience has also included the following:

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Suite 555
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3700 Buffalo Speedway
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Houston, TX 77098

AREAS OF PRACTICE

Business & Commercial
Litigation

Expungements

Financial Institutions

Financial Institutions
Enforcement Defense

Financial Institutions Internal
Investigations

Financial Institutions Litigation
and Arbitration

FINRA Employment
Arbitrations

Labor & Employment Law

Retail Client Relationships

Senior & Vulnerable Investor
Group

EDUCATION

- Southern Methodist University, J.D., 1986
- University of North Texas, B.B.A., 1983

- In his representation of a receiver appointed by the US Securities and Exchange Commission, Donald assisted in the recovery of millions of dollars for victims of an internet Ponzi scheme.
- After a lengthy arbitration hearing, Donald obtained a complete dismissal of claims in excess of \$1.2 million for alleged violations of Federal and State securities laws.
- In his representation of a national securities broker dealer, Donald obtained a complete dismissal of all claims for alleged fraud and various state and federal claims based on purchases of real estate limited partnerships.
- Donald has also successfully represented national broker dealers in arbitration proceedings regarding collateralized mortgage obligations, bond funds, managed futures and options.
- Donald also represented a national broker dealer in a reparations proceeding before an administrative law judge defending allegations of violations of the Commodities Futures Act.
- In his representation of an energy company in a contractual lawsuit in state court, his clients obtained a jury award of damages in excess of \$600,000.

News & Alerts

Bressler, Amery & Ross Expands Texas Securities Litigation Practice with Addition of John Kincade
Firm News, 01.11.2021

Bressler, Amery & Ross Expands into Texas with New Offices in Houston and Dallas
Firm News, 08.03.2020

Speaking Engagements & Events

SIFMA Compliance and Legal Division Annual Conference 2019: MA-2 : Arbitration: Issues and Strategies
Speaking Engagement, 03.25.2019

SIFMA Compliance and Legal Division Annual Conference 2018: TB: Arbitration: Issues and Strategies:
Speaking Engagement, 03.20.2018

Professional Affiliations

- Member, Dallas - Fort Worth (DFW) Compliance Roundtable

ADMISSIONS

Texas

U.S. District Court Northern District of Texas

U.S. District Court Southern District of Texas

U.S. District Court Western District of Texas

U.S. District Court Eastern District of Texas

U.S. Court of Appeals, Fifth Circuit

U.S. Supreme Court

Get to Know Don

In addition to his law practice, Don is a husband, father, and grandfather. He is also an avid golfer and fisherman.