

Joseph L. Calabrese

Principal

New York

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Joseph Calabrese is a Principal in the firm's Financial Institutions Practice Group.

With 15 years of Securities Litigation/Regulatory experience, Joseph is Wall Street veteran including the last 11 years as an Executive Director and senior member of the Wealth Management Client Litigation Group for Morgan Stanley. Prior to that, he served as an Associate General Counsel for five years at Citigroup.

Firmly positioned on the litigation front lines during the tech wreck, the credit crisis, and the tidal wave of regulation that followed, Joseph provides his clients with the actual real-world, battle-hardened, experience which is crucial to success. His unique ability to "see around corners" and anticipate an adversary's moves is fashioned by years of experience.

Joseph's approach begins by listening to his clients and appreciating their unique circumstances. Precisely understanding the best interests of his clients and balancing them with the facts of any case imparts the surest path to success.

Joseph spent the first 13 years of his career as prosecutor with the Kings County D.A.'s Office, the majority of that time Senior Assistant District Attorney in the Homicide Bureau. He is no stranger to the courtroom or any other adversarial setting.

While at Morgan Stanley, he headed the Early Dispute Resolution Team, a 30-member group consisting of attorneys, analysts and paralegals located in New York, Baltimore and Mumbai. These three groups were deeply rooted in the essential function of all pre-litigation resolution and regulatory reporting, including all third party subpoena litigation. He was instrumental in the integration of Morgan Stanley's private and mortgage banking institutions into the wealth management pre-litigation process.

17 State Street
34th Floor
New York, NY 10004

AREAS OF PRACTICE

Financial Institutions

Financial Institutions Advisory Practice

Financial Institutions Enforcement Defense

Financial Institutions Litigation and Arbitration

EDUCATION

- St. John's University School of Law, J.D., 1991
- St. John's University, B.S. Journalism, 1988

ADMISSIONS

New York

New Jersey

Joseph is an active FINRA arbitrator and an adjunct professor at St. John's University Law School where he teaches trial advocacy.

Awards & Accolades

- Received the New York City Family Court Legal Services Project for Pro Bono Service Award
- Recognized by the *New York Law Journal* as a Two-time finalist in Journal's Fiction Writing contest
- Safe Horizon's Families of Homicide Victim's Program - Keynote Speaker, National Victims Rights Week
- Cited for Bravery in the rescue of two elderly victims of an apartment fire by the Garden City Fire Department

No aspect of this or any advertisement has been approved by the Supreme Court of New Jersey. A description of the award methodologies can be found here.

News & Alerts

The Tide Rises: Will Biden's Blue Wave Wash Away Mandatory Arbitration?
Alert, *Financial Institutions Law Alert*, 05.07.2021

SEC Enforcement Head Resigns One Week After Being Hired
Firm News, *Financial Institutions Law Alert*, 04.29.2021

ESG Task Force, Emerging Risk Team, Exam Priorities for 2021 and Other Highlights from the SEC
Alert, *Financial Institutions Law Alert*, 03.11.2021

There's A New Sheriff In Town
Alert, *Financial Institutions Law Alert*, 03.09.2021

Speaking Engagements & Events

Bressler to Present Celesq Podcast on Securities Arbitration
Podcast, 09.23.2021

Joseph Calabrese to Serve as Guest Correspondent on Law & Crime Network
Speaking Engagement, 09.08.2021

FINRA Rule 8210: From Trigger to Hearing and Beyond
Webinar, 08.18.2021

Ethical Issues in Virtual Mediation
Webinar, 06.30.2021

Joseph Calabrese to Speak at St. John's University School of Law Securities Arbitration Clinic
Speaking Engagement, 03.10.2021

Civic Involvement

- Member, Lake Owassa Community Association, Insurance Committee
- Member, Volunteer and Exempt Fireman's Association Garden City

Professional Affiliations

- Member, New York State Magistrates Association