

## Wes Holston

Managing Principal, Fort Lauderdale

Fort Lauderdale

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As Managing Principal of Bressler's Fort Lauderdale office, Wes passionately helps his clients with securities and commercial litigation matters. He makes it his personal goal to help businesses grow and avoid future conflict, while keeping the focus on his client's long term success through minimizing risk.

Before joining Bressler, Wes served as in-house counsel and Executive Director at UBS Financial Services, where he handled and supervised the firm's litigation in 21 states and Puerto Rico. Wes also served as UBS's Southeastern Divisional Counsel, where he was responsible for providing advice and counsel for all issues involving legal, compliance, employment, regulatory, HR, litigation, risk and recruiting for 29 offices and over 1,000 employees (Branch Managers, Complex Managers, Financial Advisors, sales assistants and staff). Prior to his tenure at UBS, Wes gained first hand brokerage experience as a registered representative for Kidder, Peabody.

Wes's across-the-board and in-depth litigation abilities manifest in steadfast and practical results. He oversaw the successful defense of the largest claim brought by an individual investor in FINRA history of approximately \$200 million. The size and successful defense of the case became the subject of a *Wall Street Journal* article. In addition, he litigated the first successful defense of an auction rate security (ARS) case for UBS, in which the institutional investor sought more than \$5 million in damages. Wes is frequently asked to speak before business and legal organizations, and industry associations.

Wes is also passionate about fighting cancer, especially childhood cancer. Wes is Co-President of the *I Care I Cure Childhood Cancer Foundation* because cancer has stricken many members of his family, including his wife, mother, father, sister, and mother-in-law. He and his family devote much of their free time raising funds to help kids suffering with cancer, and their families, and fund cutting-edge research into fighting childhood cancer.

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### AREAS OF PRACTICE

Business & Commercial  
Litigation

Financial Institutions

Financial Institutions Litigation  
and Arbitration

General Litigation

### EDUCATION

- University of Florida, J.D., 1993
- University of Florida, M.B.A., 1985
- Vanderbilt University, B.A., 1983

### ADMISSIONS

Florida

U.S. District Court, Southern  
District of Florida

U.S. District Court, Middle  
District of Florida

## Awards & Accolades

- Martindale-Hubbell AV® Preeminent Rating

## Speaking Engagements & Events

Bressler to Sponsor I Care I Cure/Alex's Lemonade Stand Foundation Annual Golf Event to Benefit Childhood Cancer Research

Firm News, Davie, FL, 12.07.2020

Investment Products: Financial, Regulatory & Litigation Perspectives

Webinar, 09.09.2020

## Civic Involvement

- Co- President, I Care I Cure Childhood Cancer Foundation

## Professional Affiliations

- Member, Securities Industry and Financial Markets Association (SIFMA), Compliance and Legal Society
- Member, The Florida Office of Financial Regulation Commissioner's Advisory Council

## Get to Know Wes

Wes feels like the luckiest person in the world – he loves what he does for a living, which is to be a businessman who practices law with people he enjoys.

When he is not at work, he enjoys all sports, particularly tennis and golf, in addition to raising funds to fight childhood cancer. Most of all, his favorite sports are those played with his two teenage children.