

Financial Institutions Advisory Practice

Bressler advises broker-dealers and investment advisers on a wide range of domestic and international regulatory and compliance issues. Those issues start with entity formation and continue with the full range of issues that firms encounter as they seek to achieve and maintain compliance with applicable laws and rules. These matters sometimes arise on an ad hoc basis and in other cases in the context of regulatory exams for which clients request our assistance.

PROFESSIONALS

Joseph L. Calabrese
Sean J. Coughlin
Dominick F. Evangelista
Joel M. Everest
Logan S. Fisher
David I. Hantman
Daniel R. Korb, Jr.
Karl L. Marquardt
Ronak V. Patel
Kathryn Burfitt Rockwood
A. Inge Selden, III
Stephen J. Shine
Andrea Greene Wells

RELATED AREAS

Anti-Money Laundering
Financial Institutions
Enforcement Defense
Financial Institutions Internal
Investigations
Financial Institutions Litigation
and Arbitration
Retail Client Relationships
Senior & Vulnerable Investor
Group

RELATED INDUSTRIES

Financial Services