

## Alex J. Sabo

Principal

Fort Lauderdale

t 305.501.5485 | f 305.501.5499



[asabo@bressler.com](mailto:asabo@bressler.com)

Alex Sabo's litigation practice includes the representation of financial services firms and insurers in a myriad of cases. He has substantial courtroom experience, having tried civil and criminal cases and argued appeals in both state and federal court. Alex's representation of the financial services industry includes regulatory investigations and enforcement actions, arbitrations and civil litigation, including class actions. His insurance defense practice generally includes cases with complex issues and substantial damages claims. He is an experienced litigator in bankruptcy court, representing creditors and defendants against whom an adversary proceeding is filed.

A true trial lawyer and passionate advocate, Alex consistently executes and has achieved impactful resolution and forward thinking, trial ready dispute resolution strategy. He strives to deliver industry leading value and an unwavering focus on meeting his client's overall objectives at an appropriate cost.

Alex's advocacy of insurers involves complicated and significant issues, including complex single, multi-plaintiff and class action claims. His cases have included a myriad of issues such as products liability, property damage coverage, regulatory compliance and sales of unregistered securities.

In addition, Alex offers his clients substantial experience handling employment law matters. His track record of success includes defending national collective actions based on overtime claims, representing clients responding to charges of discrimination filed with the EEOC, and jury trials in state and federal court for alleged gender discrimination, sexual harassment, age discrimination and retaliation.

Alex is also a member of Bressler's Senior and Vulnerable Investor Group. The lawyers in this group have a shared interest in providing counsel to corporate clients who confront issues affecting seniors.

515 E. Las Olas Boulevard  
Suite 800  
Fort Lauderdale, FL 33301

### AREAS OF PRACTICE

Appellate

Financial Institutions

Financial Institutions Internal  
Investigations

Financial Institutions Litigation  
and Arbitration

Insurance Coverage Disputes &  
Bad Faith Claims

Senior & Vulnerable Investor  
Group

### EDUCATION

- University of Dayton School of Law, J.D., *cum laude*, 1978
- University of South Florida, B.A., Analytical Economics, 1975

### ADMISSIONS

Florida

U.S. District Court, Southern  
District of Florida

U.S. District Court, Middle  
District of Florida

U.S. District Court, Northern  
District of Florida

U.S. Court of Appeals, Eleventh

Alex is an active member of SIFMA, planning and participating in workshops, breakfast meetings and seminars. He regularly speaks on behalf of the Florida Securities Dealers Association regarding issues significant to securities firms and their registered employees. In addition to speaking, Alex is also the longest serving member of the Board of Governors of the Florida Securities Dealers Association ('FSDA'). Both in 2016 and 2018, Alex served as the president of FSDA, the nation's oldest securities association representing the interests of securities firms and investment advisers. He is a member of its Legislative Committee, Elder Fraud Education Committee, Industry Outreach Seminar Committee and Membership Committee.

Before joining Bressler, Alex served as an Assistant Public Defender from 1979-82; a Staff Attorney and Chief for the Branch of Investigations and Enforcement of the U.S. Securities and Exchange Commission from 1982-84; and Corporate Counsel for Raymond James Financial, Inc. from 1984-89. He has practiced law with Keith Olin, a Bressler partner in the firm's Ft. Lauderdale, Florida, office since June 1989.

## Awards & Accolades

- Selected to the list of *Martindale-Hubbell AV*<sup>®</sup> Preeminent lawyers

*No aspect of this or any advertisement has been approved by the Supreme Court of New Jersey. A description of the award methodologies can be found [here](#).*

## Experience

- Creatively used a Florida Statute relating to powers of attorneys to file a civil action in state court and prevent an attempt to defraud an elderly client of a broker-dealer.
- Filed an amicus curiae brief in the Florida Supreme Court on behalf of a state securities association that supported a successful appeal by a securities broker-dealer challenging a lower court appellate decision, which had excluded the statute of limitations as a defense in arbitration
- Obtained vacatur of a multi-million arbitration award in federal district court as a result of arbitrator misconduct in failing to disclose background facts raising a reasonable presumption of partiality
- Avoided regulatory action as a result of corrective measures implemented at Alex's suggestion at the beginning of the regulatory inquiry
- Won a defense verdict on a \$15,000,000 products liability claim brought against an insurer in which the jury deliberated for less than an hour

Circuit

before finding in favor of the insurer

- Successfully represented a broker-dealer client in bankruptcy court and obtained a decision that the broker-dealer client's judgment against the debtor survived the bankruptcy discharge
- Avoided application of a proposal for settlement to award substantial attorney's fees on the ground that the proposal was not made in good faith

## News & Alerts

Florida Adopts Protection of Specified Adults Statute for Financial Institutions  
Alert, 06.10.2024

FSDA Recognizes Bressler Principal Alex J. Sabo with Lifetime Legacy Award  
Firm News, 11.09.2023

Alex Sabo Achieves Dismissal with Prejudice of Putative Class Action on Motion to Dismiss  
Alert, 11.17.2022

Alex Sabo to Chair FSDA Legislative Committee  
Firm News, 02.02.2021

Florida Office of Financial Regulation Announces Interim Guidelines Under Florida's New Report and Hold Law  
Alert, *Financial Institutions Law Alert*, 07.07.2020

Florida's Governor Signs Historic Protection of Vulnerable Investors Legislation  
Alert, *Financial Institutions Law Alert*, 07.01.2020

Update: Florida Senate Passes Vulnerable Investor Legislation  
Alert, 03.12.2020

Florida Vulnerable Investor Bill Update: Another Favorable Result  
Alert, *Financial Institutions Law Alert*, 03.03.2020

Vulnerable Investor Legislation Moves Forward in Florida  
Alert, *Financial Institutions Law Alert*, 02.20.2020

## Speaking Engagements & Events

The FSDA's Elder Fraud Committee presents: "Fraud Liability for Firms"  
Speaking Engagement, Zoom, 05.21.2025

FSDA Elder Fraud Event: *Don't Be Fooled...How Elders Can Protect Themselves From Fraud*  
Speaking Engagement, 04.01.2025

Alex Sabo and Taylor Bandy to speak on Zoom Webinar, "FSDA Elder Law Review: Looking Back at 2024 and Looking Ahead to 2025"  
Webinar, 12.05.2024

A Primer on Florida's Adult Protective Services Act: What You Don't Know Will Frighten You!  
Webinar, 09.25.2024

Seniors 101 - Updating the Ever-Evolving Challenge of Preventing and Reporting Senior Financial Exploitation  
Webinar, 04.03.2024

The Florida Telephone Solicitation Act: A Class Action Trap for the Uninformed  
Webinar, 01.24.2024

FSDA Elder Law Review: Lessons of 2023 and Anticipations for 2024  
Webinar, 12.06.2023

Seniors 301 - The Fragile Framework of Senior Protection: Exposure Under Existing Law  
Webinar, 11.14.2023

Seniors 201 - Developments in Efforts to Protect Clients and Prevent Exploitation Webinar  
Webinar, 07.12.2023

## **Professional Affiliations**

- Board of Governors, Florida Securities Dealers & Advisors (FSDA) (Member, Legislative, Elder Fraud, Membership, and Industry Outreach Committees)
- Member, Securities Industry and Financial Markets Association, Compliance and Legal Society
- Member, Florida Securities Dealers Association
- Member, Elder Fraud Section of The Florida Bar (Member, Abuse, Neglect and Exploitation Committee)