

## Angela A. Turiano

Principal

New York

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Angela Turiano represents brokerage firms and individual registered representatives in customer and employment arbitrations and litigation, as well as regulatory matters. Angela has worked as in-house counsel for two major securities firms, and thus understands, from an internal perspective, the highly specific needs of her clients. She is known as much for her commitment to achieving results as her composure and ability to remain calm - and to keep others calm - in even the most high stress situations.

Angela is a practiced trial lawyer who has appeared on behalf of corporate clients in New York federal and state courts and in arbitrations before various self-regulatory organizations. In addition to her extensive work in the securities arena, Angela has also represented individuals in both state and federal criminal prosecutions, from inception through trial. Prior to entering private practice, Angela served as an Assistant District Attorney in the Kings County District Attorney's Office, where she prosecuted and successfully brought to trial multiple felony cases including Burglary, Narcotics Sale, Robbery and Kidnapping.

Angela is also a member of Bressler's Senior and Vulnerable Investor Group. The lawyers in this group have a shared interest in providing counsel to corporate clients who confront issues affecting seniors.

Highly respected throughout the financial services industry, Angela is an active speaker in the securities community, including speaking engagements at the New York State Bar Association, the American Conference Institute, the renowned NYU Stern School of Business, all of where she has lectured on the latest trends in securities litigation. At her clients' requests, she has also served on panels at client firm roundtables and compliance conferences, as well as participated in mock arbitrations. Angela also helps lead the Women Advocate Section of the ABA through her position as Chief Website Editor.

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34th Floor  
New York, NY 10004

### AREAS OF PRACTICE

Expungements  
Financial Institutions  
Financial Institutions Enforcement Defense  
Financial Institutions Internal Investigations  
Financial Institutions Litigation and Arbitration  
Investment Advisers  
Senior & Vulnerable Investor Group

### EDUCATION

- Brooklyn Law School, J.D., 1995
- State University of New York at Binghamton, B.A., *with honors*, 1992

### ADMISSIONS

New York  
U.S. District Court, Southern District of New York  
U.S. District Court, Eastern District of New York

### LANGUAGES

Spanish (working knowledge)

Angela partners with corporate clients to provide free legal advice at the Neighborhood Entrepreneur Law Project (NELP) small business legal clinic, as well as volunteers annually as a mock arbitrator/trial judge in various law school competitions. Angela also speaks annually at the Lincoln High School Career Day in Yonkers, NY.

## Experience

### Notable Representative Matters:

- *Bryan v. UBS*, where Angela represented UBS in an arbitration involving alleged improper recommendation of private equity funds, and where all claims, including \$2.255 million in damages, were dismissed
- *Hulber v. Wachovia*, where Angela represented Wachovia in an arbitration involving allegations of unsuitably and overconcentration, and where all claims, including \$350,000 in damages, were dismissed and expungement was granted, June 7, 2011
- *Morehead v. Wachovia*, where Angela represented Wachovia in seminal case involving Wells Fargo financial planning program called "Envision," and where all claims, including \$350,000 in damages, were denied and expungement was granted, October 29, 2010
- *Myers v. Wachovia*, where Angela represented Wachovia in an arbitration involving allegations of an unsuitable purchase and sale of a variable annuity, and where all claims, including \$750,000 in damages, were dismissed and was expungement granted, October 6, 2010
- *Wave2Wave Communications Inc. v. Wells Fargo Advisors, LLC and Wells Fargo & Co. et al.*, New Jersey State Court granted Angela's motion to dismiss a \$5 million fraud claim against Wells Fargo

### Product Issues/Specialized Representation:

- On litigation team responsible for management of Merrill Lynch UPS employee concentrated, leveraged position matters, and where representation has resulted in the resolution of these matters for a fraction of the claimed damages, as well as expungements for the financial advisors involved
- Responsible for regional UBS matters involving allegations of unsuitable recommendation of leveraged ETFs resulting in favorable settlements

and Italian (working knowledge)

## Speaking Engagements & Events

Carole Miller, Josh Jones, Louis Mendez, and Angela Turiano to speak at SIFMA 2023 C&L Annual Seminar Seminar, San Diego, CA, 3.12.2023 - 3.15.2023

Regulatory and Compliance Hot Topics for Broker-Dealers and Investment Advisers Webinar, Webinar, 02.01.2023

Safeguarding Against Financial Exploitation - LIVE WEBCAST Webinar, 08.24.2022

Webinar: Ethics In The World Of Zoom Webinar, 07.13.2022

## Professional Affiliations

- American Bar Association
  - Women's Advocate Committees, Website Editor
  - Securities Litigation Section
- New York City Law Association
- Financial Industry Regulatory Authority
- Fordham Law School Annual Moot Court Judge
- Brooklyn Law School, Annual Moot Court Judge
- NELP, Community Law Program Volunteer

## Get to Know Angela

When Angela is not practicing law, she enjoys cooking, running, golf and skiing. With her young son in hand, you can find Angela at the Jersey Shore, whether at the beach in the summer, picking pumpkins in the fall or sitting by the fire in the winter months.