

Daniel R. Korb, Jr.

Executive Committee Member & Principal

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Daniel Korb is a Principal in the firm and a member of the Financial Institutions practice group. With over two decades of practice, Daniel represents public and private companies, national and regional broker-dealers, registered representatives and registered investment advisers. His practice is focused on defending financial institutions and financial services professionals in securities related litigations including customer initiated complaints and industry disputes, as well as enforcement actions and investigations initiated by state and federal agencies and self-regulatory organizations such as FINRA. Daniel partners with his financial services clients to effectively manage and respond to regulatory examinations while developing protocols and relationships to cost effectively review and produce the requested materials in connection with routine and for cause examinations. Daniel also has significant experience in representing financial institutions in connection with industry disputes related to order execution, prime brokerage, capital markets, and investment banking transactions. He is also a member of Bressler's Senior and Vulnerable Investor Group comprised of attorneys who have a shared interest in providing end to end advisory solutions and litigation support for clients needing advice related to senior and vulnerable investor issues.

In addition to his securities work, Daniel regularly appears in federal and state courts on behalf of corporate and individual clients in connection with their business and commercial disputes. An entrepreneur in practice and spirit, Daniel regularly counsels large and small businesses on legal issues that impact their daily operations. He participates in monthly CEO roundtable discussions where he is valued for both his legal and business acumen.

Complementing his securities and litigation background, Daniel also devotes a significant portion of his time handling employment issues for his clients. He regularly counsels and defends individual and corporate clients in connection with their employment and severance agreements and

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AREAS OF PRACTICE

Business & Commercial
Litigation

Expungements

Financial Institutions

Financial Institutions Advisory
Practice

Financial Institutions
Enforcement Defense

Financial Institutions Internal
Investigations

Financial Institutions Litigation
and Arbitration

General Litigation

Investment Advisers

Retail Client Relationships

Senior & Vulnerable Investor
Group

EDUCATION

- Seton Hall University School of Law, J.D., *magna cum laude*, 1996

- Vanderbilt University, B.A., 1993

ADMISSIONS

New Jersey

represents clients with wrongful termination, constructive discharge and defamation claims in court and in arbitration.

Daniel is active in firm management, serving on the firm's Executive Committee and as the Chair of the firm's Marketing Committee.

Awards & Accolades

- Included in the list of *Morris Essex Health & Life Magazine's* "Morris County's Top Lawyers," 2021-2022
- Recognized on the *Super Lawyers*, "Rising Stars" List, 2009-2010
- Recognized on the *New Jersey Law Journal's* "Top 40 Under 40" List, 2009

No aspect of this or any advertisement has been approved by the Supreme Court of New Jersey. A description of the award methodologies can be found [here](#).

News & Alerts

Kim Larsen, Daniel Korb, Tobias Ziegler, and Joseph Cottingham Serve as Counsel in Successful Acquisition
Alert, 12.06.2022

Professional Affiliations

- Morris County Bar Association
- National Society of Compliance Professionals
- Securities Industry and Financial Markets Association (SIFMA), Compliance and Legal Society
- Vistage CEO Group

Get to Know Daniel

Outside of work, Daniel is an avid golfer who loves to cook, watch college football and spend time with his family.

U.S. District Court, District of New Jersey

U.S. District Court, Southern District of New York

U.S. District Court, Eastern District of New York