

Joseph L. Calabrese

Principal

New York

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With 30 years of practice and 18 years of Securities Litigation/Regulatory experience, Joseph has been firmly positioned on the litigation front lines during the tech wreck, the credit crisis, and the tidal wave of regulation that followed. This has allowed Joseph to provide his clients with the actual real-world, battle-hardened, experience which is crucial to success. His unique ability to “see around corners” and anticipate an adversary’s moves is fashioned by years of experience. His approach begins by listening to his clients and appreciating their unique circumstances. Precisely understanding the best interests of his clients and balancing them with the facts of any case imparts the surest path to success.

Joseph is Wall Street veteran which includes 11 years as an Executive Director and senior member of the Wealth Management Client Litigation Group for Morgan Stanley. Prior to that, he served as an Associate General Counsel for 5 years at Citigroup.

Joseph spent the first 13 years of his career as a prosecutor with the Kings County D.A.’s Office in Brooklyn, NY, the majority of that time as a Senior Assistant District Attorney in the Homicide Bureau. He is no stranger to the courtroom or any other adversarial setting.

While at Morgan Stanley, he headed the Early Dispute Resolution Team, a 40-member group consisting of attorneys, analysts and paralegals located in New York, Baltimore and Mumbai. These three groups were deeply rooted in the essential function of all pre-litigation resolution and regulatory reporting, including all third party subpoena litigation. He was instrumental in the integration of Morgan Stanley’s private and mortgage banking institutions into the wealth management pre-litigation process.

Joseph is an active FINRA arbitrator and an adjunct professor at St. John’s University Law School where he teaches trial advocacy. He is also the Co-chair of Bressler’s Pro-Bono committee.

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New York, NY 10004

AREAS OF PRACTICE

Financial Institutions

Financial Institutions Advisory Practice

Financial Institutions Enforcement Defense

Financial Institutions Litigation and Arbitration

Senior & Vulnerable Investor Group

EDUCATION

- St. John’s University School of Law, J.D., 1991
- St. John’s University, B.S. Journalism, 1988

ADMISSIONS

New York

New Jersey

Awards & Accolades

- Received the New York City Family Court Legal Services Project for Pro Bono Service Award
- Recognized by the *New York Law Journal* as a Two-time finalist in Journal's Fiction Writing contest
- Safe Horizon's Families of Homicide Victim's Program - Keynote Speaker, National Victims Rights Week
- Cited for Bravery in the rescue of two elderly victims of an apartment fire by the Garden City Fire Department

No aspect of this or any advertisement has been approved by the Supreme Court of New Jersey. A description of the award methodologies can be found [here](#).

Speaking Engagements & Events

Ethical Considerations for In-House Counsel Webinar
Webinar, 06.27.2023

Regulatory and Compliance Hot Topics for Broker-Dealers and Investment Advisers
Webinar, Webinar, 02.01.2023

Civic Involvement

- Member, Lake Owassa Community Association, Insurance Committee
- Member, Volunteer and Exempt Fireman's Association Garden City

Professional Affiliations

- Member, New York State Magistrates Association